

SVV Share & Stock Brokers Pvt. Ltd.

Member: The Stock Exchange, Mumbai Clg. No. 662 603-A, P. J. Tower, Dalal Street, Fort, Mumbai - 400 023.

Tel.: 022 - 2272 3133 / 37 / 38 • Fax: 2272 3134 • E-mail: svvcm662@rediffmail.com

CIN No.: U67120MH1997PTC105633

Terms and conditions for Referral Policy

Clients of SVV Share & Stock Brokers Pvt Ltd shall be eligible to receive incentive for referring friends or relatives and clients would earn upto 7% of brokerage earned from such referred clients if following terms and conditions are fulfilled:

All completed referrals who have opened an account after 1st September 2020 will be eligible for brokerage sharing.

Only 7% brokerage generated from such referrals shall be shared excluding any other charges such as taxes, transaction, SEBI/Exchange related charges etc. The referring person should not undertake any form of selling/advisory services activities w.r.t. securities and should not manage portfolio of any person who is being referred. The referred client shall not be subjected to any kind of trade inducement by the referring person and placement of orders are obtained from respective clients only. All the information pertaining to the client shall be maintained confidentially. All correspondence viz ECN, margin statement, statement of account etc should be sent to the respective client only and not to any one else. No Incentives should be recovered from the client being referred. Any dispute/grievance under this scheme cannot be referred to Investors Grievance Panel of NSE and shall be resolved between the client and SVV as mentioned in circular NSE/INSP/43029 dated 26th December 2019.